### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q85512

Guy MARCK, et al.

Appln. No.: National Stage Entry of PCT/CH2003/00507

Confirmation No.: not yet assigned Group Art Unit: not yet assigned

Filed: January 26, 2005 Examiner: not yet assigned

For: PHOTOACTIVE MATERIALS

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

10/522523

DT05 Rec'd PCT/PTO 2 6 JAN 2005

# INFORMATION DISCLOSURE STATEMENT National Stage Entry of PCT/CH2003/00507

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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CUSTOMER NUMBER

Date: January 26, 2005

Substitute for Form 1449 A & B/PTO

Sheet



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Confirmation Number	Not yet assigned
Filing Date	January 26, 2005
First Named Inventor	Guy MARCK
Art Unit	not yet assigned
Examiner Name	not yet assigned
Attorney Docket Number	Q85512

U.S. PATENT DOCUMENTS					
	Cite No.1	Document Number			
Examiner Initials*		Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 6,139,927	A	10/31/2000	HIDEAKI TAKAO
		US 6,303,742	В	10/16/2001	KOHJI OKADA
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		WO	02/054140	A2	7/11/2002	THE UNIVERSITY OF AKRON	Abstract
		WO	99/49360	A1	9/30/1999	ROLIC AG	Abstract
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		JP	10232400	A	9/2/1998	SAMSUNG DISPLAY DEVICES CO LTD	Abstract
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		NON PATENT LITERATURE DOCUMENTS					
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		SCHORR M. ET AL.: "Carbonsäurepiperazide mit chemotherapeutischer Wirkung genen Dicrocoelium dendriticum" ARZEIMITTEL FORSCHUNG. DRUG RESEARCH., vol. 14, no. 10, 1964, pages 1151-1156, XP002223712					
		DATABASE CROSSFIRE BEILSTEIN 'Online! Beilstein Institut zur Förderung der Chemischen Wissenschaften, Frankfurt am Main, DE; XP002223713 & Chem, Ber., Vol. 55,1922, page 722					
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		DATABASE CROSS FIRE BEILSTEIN 'Online! Beilstein Institut zur Forderung der Chemischen Wissenschaften, Frankfurt am Main, DE; XP002223719 & J. Prakt. Chem., vol. 125, 1930, page 211					
		MARTIN SCHADT, et al., "Surface-Induced Parallel Alignment of Liquid crystals by Linearly Polymerized Photopolymers", Japan Journal of Applied Physics, Vol. 31, 1992, Pt. 1, No. 7, pp 2155-2164.					

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Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.